Form I

(Regulation 7)

(To be completed in triplicate)



**REPUBLIC OF ZAMBIA**

**The Mines and Minerals Development Act, 2015 (Act No. 11 of 2015)**

**The Mines and Minerals Development (General) Regulations, 2016**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| **APPLICATION FOR A MINING RIGHT** | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | | | | | **Shaded fields for official use only** | | | | | | **Licence Code** | | | | | | | |  | | | | | | | | | | |
| **Date and Time** | | | | | | | |  | | | | | | | | | | |
| *Information Required* | | | | | ***Information Provided*** | | | | | | | | | | | | | | | | | | | | | | | | **√** |
| 1 | Type of Mining Right | | | |  | | | | | | | |  | | | |  | | | | | | | | | |  | |  |
| 2 | Scale of operation | | | |  | | | | | | | |  | | | |  | | | | | | | | | |  | |  |
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|  | | | | | | | | | |  | |
| 3 | Minerals | | | |  | | | | | | | |  | | | |  | | | | | | | | | |  | |  |
| 4 | 1. Name(s) of applicant(s) | | | |  | | | | | | | | | | | | | | | | | | | | | | | |  |
|  | | | | | | | | | | | | | | | | | | | | | | | |
| 1. Type of applicant (Artisanal mining only) | | | |  | | | | | | | |  | | | |  | | | | | | | | | |  | |
| 5 | 1. Date of Birth (dd/mm/yyyy) | | | |  | | | | | | | | | | | | | | | | | | | | | | | |  |
| 1. Nationality | | | |  | | | | | | | | | | | | | | | | | | | | | | | |
| 1. Identity Card (National Registration Card) No. or Passport No. – Attach certified copies | | | |  | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | Applicant’s physical and postal addresses | | | |  | | | | | | | | | | | | | | | | | | | | | | | |  |
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| Tel: | | | |  | | | | | | | | | | | | | | | | | | | | | | | |
| Mobile No.: | | | |  | | | | | | | | | | | | | | | | | | | | | | | |
| Fax: | | | |  | | | | | | | | | | | | | | | | | | | | | | | |
| Email: | | | |  | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | 1. Company/Cooperative Registration No. (Attach certified copies of registration certificate) | | | |  | | | | | | | | | | | | | | | | | | | | | | | |
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|  | 1. Shareholders (Attach certified copies of identity cards and companies Form 2 issued under the Companies Act Cap. 388) | | | |  | | | | |  | | | | | |  | | | | | | |  | | | | | |  |
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| 1. Directors | | | | Directors | | | | | Shares held | | | | | | Nationality | | | | | | | NRC/Passport No. | | | | | |
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| 1. Company Chief Executive Officer | | | |  | | | | |  | | | | | |  | | | | | | |  | | | | | |
| 1. Company Secretary | | | | Name | | | | |  | | | | | | Nationality | | | | | | | Zambian | | | | | |
| 8 | Current Mining Rights or licences in Zambia, if any, by applicant | | | | Mining Right/Licence No. | | | | | | | | Location | | | | | | | | Area (km2) | | | | | | | |  |
| (a) | | | | | | | | (a) | | | | | | | | (a) | | | | | | | |
| (b) | | | | | | | | (b) | | | | | | | | (b) | | | | | | | |
| (c) | | | | | | | | (c) | | | | | | | | (c) | | | | | | | |
| (d) | | | | | | | | (d) N/A | | | | | | | | (d) | | | | | | | |
| (e) | | | | | | | | (e) | | | | | | | | (e) | | | | | | | |
| (f) | | | | | | | | (f) | | | | | | | | (f) | | | | | | | |
| 9 | Previously held mining rights in Zambia, if any, by applicant | | | | Mining Right/Licence No. | | | | | | | | Location | | | | | | | | Area (km2) | | | | | | | |  |
| (a) | | | | | | | | (a) | | | | | | | | (a) | | | | | | | |
| (b) | | | | | | | | (b) | | | | | | | | (b) | | | | | | | |
| (c) | | | | | | | | (c) | | | | | | | | (c) | | | | | | | |
| (d) | | | | | | | | (d) | | | | | | | | (d) | | | | | | | |
| (e) | | | | | | | | (e) | | | | | | | | (e) | | | | | | | |
| (f) | | | | | | | | (f) | | | | | | | | (f) | | | | | | | |
| 10 | Currently held mining rights or licences in Zambia, if any, by subsidiary companies | | | | Mining Right/Licence No. | | | | | | | | Location | | | | | | | | Area (km2) | | | | | | | |  |
| (a) | | | | | | | | (a) | | | | | | | | (a) | | | | | | | |
| (b) | | | | | | | | (b) | | | | | | | | (b) | | | | | | | |
| (c) | | | | | | | | (c) | | | | | | | | (c) | | | | | | | |
| (d) | | | | | | | | (d) | | | | | | | | (d) | | | | | | | |
| (e) | | | | | | | | (e) | | | | | | | | (e) | | | | | | | |
| (f) | | | | | | | | (f) | | | | | | | | (f) | | | | | | | |
| 11 | Currently held mining rights or licences in other countries by applicant | | | | Mining Right/Licence No. | | | | | | | | Location | | | | | | | | Area (km2) | | | | | | | |  |
| (a) | | | | | | | | (a) | | | | | | | | (a) | | | | | | | |
| (b) | | | | | | | | (b) | | | | | | | | (b) | | | | | | | |
| (c) | | | | | | | | (c) | | | | | | | | (c) | | | | | | | |
| (d) | | | | | | | | (d) | | | | | | | | (d) | | | | | | | |
| (e) | | | | | | | | (e) | | | | | | | | (e) | | | | | | | |
| (f) | | | | | | | | (f) | | | | | | | | (f) | | | | | | | |
| 12 | 1. Has the applicant been convicted of an offence involving fraud or dishonesty or of an offence under the Mines and Minerals Development Act, No. 11 of 2015, or any other law within or outside Zambia?   If yes, specify details: ………………………………………………………………………………………………………  Nature of offence: ………………………………………………NO…………………………………………………………..  Date of Conviction: …………… NO ……………………………………………………………………………………… | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
| 1. Have you been declared bankrupt, or if a company, have you been in liquidation?   If yes, specify details ……………NO………………………………………………………………………………………. | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 13 | Has the applicant ever applied for a mining right or licence in Zambia? If yes, please give details …………………………………………………yes…………………………………………………………………………………….  ………………………………………………………………………………………………………………………………………. | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
| Mining right or licence  applied for | | | | Location | | | | | | | Area km2 | | | | | | | | | | Date of application | | | | | | |
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| Status of application | | | | Grant | | | | | | | Rejection | | | | | | | | | | Pending | | | | | | |
| 14 | Area applied for (all coordinates in exact multiples of 6 seconds, latitude/longitude format, Degree-minute-Second format, Arc 1950) | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
| 1. Location | | | | Province | | | Northwestern | | | | | | | District | | | | | | | | |  | | | | |
|  | | |  | | | | | | | | | | | | | | | | | | | | |
| 1. Size (in sq. km) 5.4 km sq | | | |  | | | | | | | | | | | | | | | | | | | | | | | |
| Exploration (activity) area not exceeding 2000 km2 as follows:   1. Large-scale 2. Small-scale | | | |
| Mining (activity)   1. Large-scale 2. Small-scale 3. Artisanal | | | |  | | | | | | | | | | | | | | | | | | | | | | | |
| 1. Coordinates   (use additional sheet to indicate coordinates if required) | | | | Latitudes (S) | | | | | | | | | | | | | Longitudes (E) | | | | | | | | | | |
|  | | | | D | D | M | | M | | | S | | S | | | | D | | D | | M | | | M | S | | S |
|  | | Corner | 1 |  |  |  | |  | | |  | |  | | | |  | |  | |  | | |  |  | |  |
| Corner | 2 |  |  |  | |  | | |  | |  | | | |  | |  | |  | | |  |  | |  |
| Corner | 3 |  |  |  | |  | | |  | |  | | | |  | |  | |  | | |  |  | |  |
| Corner | 4 |  |  |  | |  | | |  | |  | | | |  | |  | |  | | |  |  | |  |
| Corner | 5 |  |  |  | |  | | |  | |  | | | |  | |  | |  | | |  |  | |  |
| Corner | 6 |  |  |  | |  | | |  | |  | | | |  | |  | |  | | |  |  | |  |
| Corner | 7 |  |  |  | |  | | |  | |  | | | |  | |  | |  | | |  |  | |  |
| Corner | 8 |  |  |  | |  | | |  | |  | | | |  | |  | |  | | |  |  | |  |
| Corner | 9 |  |  |  | |  | | |  | |  | | | |  | |  | |  | | |  |  | |  |
| Corner | 10 |  |  |  | |  | | |  | |  | | | |  | |  | |  | | |  |  | |  |
| Corner | 11 |  |  |  | |  | | |  | |  | | | |  | |  | |  | | |  |  | |  |
| Corner | 12 |  |  |  | |  | | |  | |  | | | |  | |  | |  | | |  |  | |  |
| 15 | **Appendices1** | | | | | | | | | | | | | | | | | | | | | | | | | | | |  |
| 1. Exploration Licence | | | |  | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 1 | | | Proposed programme of exploration operations (should include an estimate of the investment commitment in the approved format) | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 2 | | | Proposals for employment and training of citizens of Zambia | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 3 | | | Proposals for promotion of local business development | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 4 | | | Tax clearance certificate issued under Income Tax Act (Cap. 323) | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 5 | | | Any further information which the Director of Mining Cadastre may require | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 6 | | | Proof of consent from appropriate authority if activity is in a National Park, Game Management Area | | | | | | | | | | | | | | | | | | | | | | | |
| (b) Mining Licence - Large Scale | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 1 | | | A comprehensive statement of the mineral deposits in the area over which the license is sought (should include details of all known minerals proved, estimated or inferred, ore resources and mining conditions) | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 2 | | | Feasibility study for mining operations (should include a forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and minerals recovered) | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 3 | | | Approved Environmental Impact Statement | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 4 | | | Details of expected infrastructure requirements | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 5 | | | Proposals for employment and training of citizens of Zambia | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 6 | | | Proposals for promotion of local business development | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 7 | | | Tax clearance certificate (issued under Income Tax Act, Cap. 323) | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 8 | | | Plan of proposed mining area | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 9 | | | In the case of gemstones, a plan for cutting, polishing and faceting of gemstone in Zambia | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 10 | | | Any further information which the Director of Mining Cadastre may require | | | | | | | | | | | | | | | | | | | | | | | |
| (c) Mining Licence - Small-Scale | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 1 | | | Copy of relevant prospecting permit and prospecting report | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 2 | | | Proposed programme of mining operations (should include forecast of capital investment, the estimated recovery rate of ore and mineral products, and the proposed treatment and disposal of ore and minerals recovered) | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 3 | | | Description of the mineral deposit in area over which the licence is sought | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 4 | | | Statement of duration for which licence is sought (should not exceed ten years) | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 5 | | | Tax Clearance Certificate issued under Income Tax Act (Cap. 323) | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 6 | | | Approved Environmental Project Brief | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 7 | | | Any further information which the Director may require | | | | | | | | | | | | | | | | | | | | | | | |
| (d) Artisanal Mining | | | |  | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 1 | | | Environmental Commitment Plan | | | | | | | | | | | | | | | | | | | | | | | |
|  | Appendix No. 2 | | | Programme of intended mining operations (should include proposals for the proper conservation and use of mineral resources in mining area, in the national interest) | | | | | | | | | | | | | | | | | | | | | | | |
|  | (e) Radioactive Minerals | | | |  | | | | | | | | | | | | | | | | | | | | | | | |  |
|  |  | Appendix No. 1 | | | Plan and description of mine, processing facilities and proposed disposal sites | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | Appendix No. 2 | | | Copy of licence issued under the Ionizing Radiation Protection Act, No. 16 of 2005 | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | Appendix No. 3 | | | Programme to educate persons in the vicinity of the mine or plant on safety, health and the environment in relation to radiation | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | Appendix No. 4 | | | Programme to assist authorities outside the mining area in planning and preparing to limit adverse effects of radiation | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | Appendix No. 5 | | | Proposed programme for selecting, using and maintenance of personal protective equipment | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | Appendix No. 6 | | | Proposed training programme for workers in relation to radiation safety | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | Appendix No. 7 | | | Proposed ventilation and dust control methods and associated equipment | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | Appendix No. 8 | | | Proposed security measures during mining, processing, storage, transportation (including measures to alert the holder of acts of sabotage | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | Appendix No. 9 | | | Storage, transportation and marketing arrangements in conformity with the Nuclear Non-Proliferation Treaty (NPT) | | | | | | | | | | | | | | | | | | | | | | | |
|  |  | Appendix No. 10 | | | Any further information which the Director may require | | | | | | | | | | | | | | | | | | | | | | | |
| Application Fee | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ………………………………………………………………… ………………………………………………………  Applicant/Authorised Represenative  …………………………………………………………………  Officer | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| **FOR OFFICIAL USE ONLY**  Received by: ………………………………………………………………………………  OFFICAL STAMP  Officer  Amount Received: ………………………………………………………………………..  Serial No. of Application: ……………………………………………………………… | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

**NOTE**

1. An application for large-scale or small-scale mining shall only be made by a company.
2. A small-scale mining activity may only be undertaken by a citizen-owned, citizen influenced and citizen empowered company.
3. Artisanal mining shall only be carried out by an individual or a cooperative.
4. \*Delete what is not applicable

Form II

(Regulation 8 (1))

(To be completed in triplicate)